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(54) **Surgical fastening apparatus with locking mechanism**

**Chirurgische Klammer Vorrichtung mit Verriegelungsmechanismus**

**Appareil d'attache chirurgical avec mécanisme de verrouillage**

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**EP-A- 136 948** **EP-A- 0 213 817**  
**EP-A- 0 373 762** **FR-A- 2 110 477**  
**US-A- 4 607 636**

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## Description

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

This invention relates to surgical fastening apparatus, and specifically to an improved surgical fastening apparatus containing a locking mechanism.

A fastener applying apparatus in accordance with the pre-characterising part of claim 1 below is disclosed in EP-A-0 136 948.

#### 2. Background of the Art

Surgical fastening apparatus for simultaneously applying an array of surgical staples or other types of fasteners are known in the art. Such apparatus are used for suturing body tissue such as, for example, intestinal and gastric walls with spaced parallel rows of longitudinally aligned staples. These surgical stapling apparatus reduce the time of wound closure in a surgical procedure.

Typically these devices include a fastener holder disposed on one side of the tissue to be fastened, and an anvil assembly parallel to the fastener holder on the other side of the tissue to be fastened. The fastener holder is moved linearly towards the anvil assembly so that the tissue is clamped between them. The fasteners are driven from the fastener holder so that the ends of the fasteners pass through the tissue and form finished fasteners as they make contact with the anvil assembly, thereby producing an array of finished fasteners in the tissue. Optionally, the fastening apparatus may include a knife mechanism for creating an incision between rows of fasteners. The fasteners can be made of metal, non-absorbable polymers, or bioabsorbable polymers such as polyglycolide, polylactide, and copolymers thereof.

In common use are apparatus in which the fastener holder comprises a disposable cartridge removably mounted in or on a permanent actuator for supporting and actuating the cartridge. The cartridge is disposable after a single use, i.e. after the fasteners are fired. The permanent actuator is reusable in the same surgical procedure after reloading with a fresh cartridge, and is reusable in another surgical procedure after cleaning, sterilizing, and reloading. Also known are disposable surgical apparatus, in which the entire apparatus is disposed of after use. Examples of surgical stapling apparatus may be found in U.S. Patent No. 4,354,628 to Green, U.S. Patent No. 4,665,916 to Green, and U.S. Patent Des. 283,733 to Rawson et al.

In the use of surgical fasteners the possibility arises that the fastener apparatus may be actuated when the cartridge is empty of fasteners. This can occur when the apparatus has been fired once, but the cartridge is not reloaded or discarded. This can also occur if the apparatus is inadvertently reloaded with a spent cartridge.

Under such circumstances the fastening apparatus will fail to suture the body tissue, which can cause harm to the patient and result in the surgeon's loss of valuable time. The risk of harm is greatly increased if the apparatus contains a knife mechanism, since it will create an unsealed incision. To eliminate these dangers to the patient it would be beneficial to provide a mechanism which alerts the user that a new cartridge is required. It would further be beneficial if such a mechanism can provide a lock to actually prevent the surgeon from trying to fire a cartridge that has already been fired and prevent reloading of a spent cartridge. This would save valuable time and reduce the risks to the patient. The present invention relates to such an apparatus.

A lock-out mechanism to prevent refiring of staples from a cartridge is disclosed in EP-A-0 373 762.

### SUMMARY OF THE INVENTION

Claim 1 below defines an apparatus in accordance with the invention for applying a plurality of surgical fasteners to body tissue. The fasteners can be metal staples having deformable legs or two-part fasteners fabricated from bioabsorbable polymer such as polyglycolide, polylactide, and glycolide/lactide copolymer. In embodiments of the invention, the apparatus includes means for holding a fastener carrying cartridge; means for moving the fastener carrying cartridge between a proximal first position and a distal second position wherein the cartridge is in close approximation to fastener closing means; means for substantially simultaneously ejecting the fasteners from the cartridge for closure thereof by the fastener closing means; approximation blocking means; and a locking member movable in response to the ejection of the fasteners and movement of the cartridge from the second cartridge position to the first cartridge position whereby the locking member becomes engageable with the approximation blocking means and prevents movement of the cartridge from the first cartridge position to the second cartridge position. The locking member is associated with the cartridge and is at least partially located inside the cartridge.

In one embodiment the approximation blocking means comprises a member having first and second slots, and the locking member includes a slot engaging rod which is movable between a first position wherein it is slidable into the first slot of the approximation blocking means and a second position wherein it is slidable into the second slot of the approximation blocking means.

In another embodiment the approximation blocking means comprises a surface for blocking distal movement of the locking member and the locking member is a drop bolt slidably mounted within the cartridge and movable from a first position to a second position wherein it is engageable with said approximation blocking means. Means is provided for biasing the locking member to the second position, and restraining means is provided for preventing the locking member from

moving to the second position. The restraining means is movable from an initial restraining position to a non-restraining position when the fasteners are ejected from the cartridge.

### BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the invention are described in more detail hereinbelow wherein:

Fig. 1 illustrates a fastener applying apparatus in elevated perspective view.

Figs. 2a, 2b and 2c, illustrate in elevational sectional view the distal portion of the fastener applying apparatus in the pre-firing, fired, and after firing positions, respectively.

Fig. 3a illustrates a side view of the resilient locking clip.

Fig. 3b is a perspective view of an alternative locking clip with ribbed hook portion.

Fig. 4 is a side elevational view of a two-part surgical fastener.

Figs. 5 to 8 illustrate partially cut away side elevational views of an alternative embodiment of the locking mechanism of the present invention in four stages of operation, respectively: apparatus pre-closed condition, apparatus closed but unfired, apparatus fired, apparatus reopened with attempted reclosure with spent cartridge.

Fig. 9 illustrates the T-shaped locking member embodiment of Figures 5-8 of the present invention in perspective view.

Fig. 10 illustrates a detailed partially sectional side elevational view of the T-shaped locking member and the approximation blocking means.

Figs 11 to 14 illustrate partially cut away side elevational views of another embodiment of the present locking mechanism of the present invention in four stages of operation, respectively: apparatus pre-closed condition, apparatus closed but unfired, apparatus fired, and apparatus reopened with attempted reclosure with spent cartridge.

Fig. 15 illustrates the drop bolt embodiment of the locking mechanism of Figures 11-14 in perspective view.

Figs. 16a and 16b illustrate, in plan view, the drop bolt and the leaf spring bolt retainer in apparatus prefired, and apparatus fired condition, respectively.

### DETAILED DESCRIPTION OF THE INVENTION

Fig. 1 illustrates a surgical stapler 100 having an elongated carrier portion 100a, a handle 100b, an actuating lever or trigger 100c, a safety latch 100d for locking the trigger 100c, an approximating lever 100e, a U-shaped distal portion 100f, a staple holder cartridge 101, and an anvil assembly 122 comprising an anvil 108 for closing or crimping the staples and an anvil support

arm 102. The instrument operates by positioning body tissue between the staple holder cartridge 101 and the anvil 108, then, by pivoting the approximating lever 100e, pivoting and sliding the staple cartridge 101 into a closed position wherein it is in close approximation to the anvil 108 so that it can grip tissue held therebetween. Next, the apparatus is fired by pressing the trigger 100c towards handle 100b, thereby substantially simultaneously driving surgical staples into the body tissue, the staple legs being crimped by anvil 108. Finally, the apparatus is opened by pivoting the approximation lever 100e to withdraw the cartridge 101 proximally away from the anvil assembly, thereby releasing the body tissue.

The term "fasteners" is used herein as a generic term which includes surgical staples, and the staple-shaped portion of two-part surgical fasteners, and equivalents thereof. Thus, although the surgical fastener of the present invention is exemplified in Figures 1-3 as a staple embodiment, the inventive features described herein are applicable to instruments for applying metal staples, as well as staples and two-part fasteners made from non-bioabsorbable or from bioabsorbable polymers (e.g. polyglycolide, polylactide and copolymers thereof). A two-part fastener is illustrated in Fig. 4. Unlike one piece staples which are closed by crimping the legs, the two piece fasteners are typically closed by joining and interlocking the two parts of the fasteners together. Typically, a two-part fastener includes a fastener portion 151 and a retainer portion 152. The fastener portion has prongs 153 which are adapted to be received into apertures 154 in the retainer and locked therein. The term "anvil assembly" is used herein as a generic term to include the anvil used to clinch surgical staples, the retainer holder and retainer member of two-part resinous surgical fasteners, and the equivalent of these elements. The anvil assembly of a fastener applying instrument holds the retainer portions until the fasteners are locked therein, whereupon the retainers are released.

Thus, the present invention should not be construed as being limited only to instruments for applying metal staples, but rather more generally to surgical fastener applying apparatus.

The locking mechanism of the present invention enables the apparatus to be fired only once in a single use, as it prevents reclosing of the apparatus, i.e., re-approximation of cartridge 101 after the fasteners have been fired and the cartridge 101 is retracted to its open position. If the cartridge 101 is adapted to be disposable and replaceable the apparatus can be refired by substituting a new cartridge loaded with staples. If reloaded with a spent (already fired) cartridge, the locking mechanism will prevent approximation of the cartridge and thereby not allow the instrument to be fired.

In general, the apparatus of the present invention includes a locking mechanism associated with the cartridge and an interference means, i.e. an approximation blocking means, associated with the anvil assembly.

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The approximation blocking means cooperates with the locking mechanism to prevent closure of the apparatus, that is, the cartridge 101 cannot be moved into close approximation with the anvil 108. In the illustrated embodiment (Figure 2A), the approximation blocking means comprises a slot 104 formed in the anvil support arm 102 to provide a blocking surface at approximately a right angle to the motion of the cartridge. Alternately, one or more slots at differing angles could be provided to receive the locking mechanism. Clearly, the number, position, and configuration of the slots will vary depending on the locking mechanism employed. For example, if the locking mechanism is designed to extend linearly, the slot will extend longitudinally. Moreover, other blocking means besides slots can be provided as long as they achieve the function of engaging the locking mechanism to restrict movement of the cartridge.

As noted above, the locking mechanism of the present invention is associated with the cartridge and cooperatively engages the approximation blocking means so as to prevent distal movement of the cartridge beyond a predetermined position. The lockout mechanism is actuated after two movements have been completed: 1) firing of the fasteners from the cartridge, and 2) reopening the apparatus i.e. proximal movement of the cartridge to permit removal of tissue.

In the embodiment shown in Figures 2 and 3, approximation blocking means is in the form of slot 104. The locking mechanism in this embodiment is designated by reference numeral 103 and includes a detent or hook 103d for engaging the slot. Cooperation between the locking mechanism and the walls of the slot 104 prevents movement of the cartridge 101 from the open (proximal) to the closed (distal) position. The hook 103d is moved out of its pre-fired position and into engagement with the slot 104 in response to actuation of the fastener driver which fires the fastener. The locking mechanism 103 is associated with the cartridge 101 so that removal and replacement of the cartridge effects a change of lockout mechanisms as well.

More specifically, the single use locking mechanism 103 in the embodiment of Figures 2 and 3 comprises locking clip 103 which is slidably mounted within chamber 118 of the cartridge 101. Referring additionally now to Figs. 3a and 3b, locking clip 103 is preferably in the form of a leaf spring having a first, upper arm portion 103a and a second, lower arm portion 103b which are integrally united at curved proximal end 103c, and a hook or detent 103d projecting downwardly from the distal end of the lower arm 103b. The upper arm 103a and lower arm 103b define an angle "A" which is about 10° to 50° depending on material thickness and condition, and preferably about 30°. The lower arm 103b and the hook 103d define an angle "B" which is preferably from about 75° to 120°. This area may be ribbed to provide additional resistance to bending when actuated. Fig. 3b illustrates a locking clip 103 with ribbed hook 103d'. The ribs may optionally also extend along lower arm 103b. The upper arm 103a is braced against the

roof of the chamber 118, thereby providing a biasing force to resiliently urge lower arm 103b in a downward direction. The lower arm 103b illustratively extends longitudinally beyond that of upper arm 103a so that when the locking clip is moved distally as discussed below, the distal end of lower arm 103b with detent 103d is pushed outside chamber 118 while the upper arm 103a is still maintained inside the chamber 118 for providing the downward biasing force. In the initial position of locking clip 103, the hook 103d rests on base 115, which is preferably a strip of metal defining the floor of chamber 118. In this position the detent 103d is non-engageable with slot 104.

The hook can be integrally connected into a single piece to the locking clip in, for example, a resilient clip or a leaf spring with a hooked end. Alternately, the hook can be a separate piece connected to the locking clip.

Fig. 2a illustrates the distal portion of the apparatus 100 including the locking mechanism. In this embodiment the hook and locking member are integral. Fastener holder 101 is typically a rectangular shaped replaceable cartridge which is pivotally and slidably mounted to the anvil support arm 102 via mounting pin 105. The mounting pin 105, which is fixed to the lower portion of the staple cartridge 101, is adapted to be received into mounting slot 104 of the anvil support arm 102. Staples 111 are disposed within slotted grooves 112, and are pushed distally towards the anvil assembly by staple drivers 113 when the instrument is fired. The anvil assembly 122 comprises the anvil support arm 102 and, seated in the anvil support arm 102, an anvil 108 for crimping the legs of staples 111. Alignment pin 117, which is mounted to leaf spring 116, serves to align the cartridge 101 when the cartridge 101 is closed onto the body tissue. Spring 106 biases the cartridge 101 proximally, i.e., away from anvil assembly 122. The distal end of spring 106 abuts the proximal end of post 107, which is an integral part of anvil support arm 102.

Projections 114 project outwardly from the cartridge 101, and are adapted to abut the edge of U-shaped distal portion 100f when the apparatus is closed. Projections 114 serve to align the cartridge 101.

Further illustrations of fastener cartridges may be found in U.S. Patent Nos. 4,568,009 and 4,915,100, herein incorporated by reference.

Fig. 2b illustrates the cartridge 101 in the fired position. Actuator 120 is moved distally when release toggle 100e is rotated clockwise, and the camming surface 120a is biased into contact with leaf spring 116. This moves the cartridge distally to close the apparatus on body tissue 119 located between the cartridge 101 and the anvil 108. Upon moving distally, spring 106 is compressed which then exerts a force for proximally biasing the cartridge back into the open position when the release toggle 100e is opened. When the actuating lever 100c is rotated counterclockwise, driver 121 is moved distally into contact with the pusher bar 110, moving the pusher bar 110 distally. The pusher bar, in turn, moves staple drivers 113 distally, thereby driving

staples 111 through body tissue 119 and into the anvil 108 where the staple legs are crimped.

Upon moving distally, the pusher bar 110 also pushes locking clip 103 distally such that the detent 103d is moved out of chamber 118 and onto the sloped contact surface 109 of the anvil support arm 102. In this intermediate position, the detent does not yet engage the approximation blocking means.

After the stapling is completed, the apparatus is opened by rotating the approximating lever 100e counterclockwise back into the open position. As can be seen in Fig. 2c, after firing and release of approximation lever 100e, the cartridge 101 is moved proximally back into the open position to release the body tissue which was operated upon. However, the locking clip 103 does not fully return into chamber 118. Rather, the detent 103d slides down surface 109 and into the mouth of slot 104. The detent is held in this position by spring tension to prevent dislodging. Slot 104 in the anvil assembly thereby provides a catch means for engaging detent 103d. Once engaged therein, the locking clip prevents the apparatus from being reclosed, for if the user once again attempts to rotate lever 100e, the distal detent 103d will abut the edge of sloping surface 109 thereby preventing the cartridge 101 from being moved distally by actuator 120. At this time, either the expended cartridge can be removed, discarded, and replaced with a new cartridge, or the entire instrument can be discarded for a new instrument. The locking clip also prevents a fired or spent cartridge which has been removed and reinserted, from being closed since a spent cartridge when loaded will have its detent 103d seated in slot 104. An unfired cartridge on the other hand will have its detent 103d resting on the base 115 of the chamber 118 and therefore out of engagement with the slot in the anvil arm when inserted into the apparatus.

In an alternate embodiment of the locking mechanism shown in Figures 5-10, the locking mechanism is a T-shaped member pivotally mounted within the cartridge and removably held in sideways (longitudinal) position such that the trunk portion of the T is distally oriented. After firing of the fasteners, the T-shaped member is released. When the apparatus is opened, the T-shaped lockout member pivots downward and into alignment with a slot in an approximation blocking means associated with the U-shaped distal portion of the apparatus. If the user attempts to reclose the apparatus, i.e., move the cartridge distally by rotating lever 100e the trunk portion of the T-shaped locking mechanism will abut the distal wall of the slot and prevent further distal movement of the cartridge.

Referring now more particularly to Fig. 5, the fastener applying apparatus 200 includes an elongated carrier 201 extending longitudinally and a U-shaped portion 204 at the distal end of the elongated carrier 201. The elongated carrier 201 includes driver 202 and actuator 203 extending longitudinally therein. The U-shaped distal portion 204 includes an anvil support arm 205 and anvil 206 mounted thereto. A cartridge 218 is

mounted in the U-shaped distal portion 204 and is movable between an initial proximal position and a distal position wherein it comes into close proximity to anvil 206 for gripping body tissue therebetween. The cartridge 218 may be removable and replaceable, and includes body portion 219, a leaf spring 207, alignment pin 208, pusher bar 209, and staple driver 210. The fasteners, illustrated in Fig. 5 as staples 211, are located within slots in the cartridge 218 and are pushed by respective staple drivers 210 when the instrument 200 is fired such that the legs of staples 211 penetrate body tissue and are crimped by anvil 206. The U-shaped distal portion includes a stopping means, i.e., member 212, which includes an upper longitudinally oriented, preferably cylindrical, slot 213, and a lower slot 214 oriented at an angle from the longitudinal orientation of the apparatus 200, and of lesser depth than the upper slot 213. Clearly the slots can be formed of other shapes as long as they are configured and dimensioned to receive the locking mechanism.

Referring now to Figs. 5, 9, and 10, the T-shaped locking member 220 is pivotally mounted in cartridge 218. In the initial position, the back portion 221 of the locking member 220 is held upright by pusher bar 209. The back portion 221 includes depending legs 221a and 221b which are rotatably mounted to laterally extending shaft 217. Shaft 222 projects distally and perpendicularly from back 221. In the initial position of the locking member 220, shaft 222 is longitudinally oriented, and the distal end of shaft 222 projects a short distance into a reception area of the stopping means 212 where upper and lower slots 213 and 214 are joined.

Helical compression spring 215 applies a force for biasing the locking member 220 proximally. Preferably, spring 215 is of such length so as to apply biasing force when the locking member 220 is moved distally, but to apply little or no biasing force when the locking member 220 is in the initial or proximal position. Torsion spring 216 is coiled around shaft 217 and urges the locking member to pivot to a downward pointing position. In its downward pointing position, the distal end of the shaft 222 rests on the bottom edge 214a (Figure 10) of the lower slot 214. The operational sequence of movements of the locking member 220 is explained more fully below.

In the initial prefired and open position, the apparatus 200 is in the condition as shown in Fig. 5. The cartridge 218 is in a proximal position, and, within the cartridge, the locking member 220 is held upright by the pusher bar 209, which is proximally located.

Referring to Fig. 6, when the apparatus is closed onto body tissue, i.e., when the cartridge 218 is approximated, actuator 203 is moved distally thereby moving cartridge 218 distally into close approximation with the anvil 206 so as to clamp body tissue therebetween. As can be seen in Fig 6, the locking member 220 is moved distally against the biasing force of compression spring 215 such that shaft 222 is received into upper slot 213.



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Referring now to Fig. 7., when the instrument is fired, driver 202 moves distally through slot 207a in the leaf spring and drives pusher bar 209 forward. Staple drivers 210 are, in turn, advanced so that staples 211 are substantially simultaneously driven through body tissue 230 and into the anvil 206 where they are crimped. The pusher bar 209 which had previously been restraining locking member 220 from pivoting downward, is moved to a position where it no longer provides such restraint and remains in the distal position even after the apparatus is reopened.

When the apparatus 200 is opened, i.e., the cartridge 218 is retracted to its proximal position and the actuator 203 is drawn back, the cartridge 218 is moved by the biasing force of compression spring 215 back to its proximal location. The distal end of the shaft 222 of locking mechanism 220 slides back out of the upper slot 213. Since there is no pivoting restraint, when the distal end of the shaft 222 rides over the bottom edge 213a of the upper slot (see Fig. 10), it drops down to the bottom edge 214a of the lower slot 214 under the biasing force of torsion spring 216.

Once the apparatus has been both fired and reopened, it cannot be reclosed, which is to say that the cartridge 218 cannot be reapproximated if it is spent. If an attempt is made to reapproximate the spent cartridge, the distal end of the shaft 222 will ride into the lower slot 214, which is not as deep as upper slot 213 and which cannot accommodate the full length of the shaft 222 (see Fig. 8). As shown in Fig. 10, slot 214 has a backstop surface 214b which prevents further distal movement of the locking member 220 or the cartridge 218. Thus, unless the spent cartridge 218 is replaced with a new cartridge, the instrument cannot be reclosed.

In another embodiment illustrated in Figures 11-16b, the locking mechanism may comprise a slidable shaft or drop bolt which is released upon firing of the apparatus, and which slides downwardly into a slot when the apparatus is opened (i.e. the cartridge is moved proximally) so that a portion of it protrudes beyond the lower surface of the cartridge thereby interfering with distal movement of the cartridge if an attempt is made to reclose the apparatus.

Referring now to Fig. 11, the fastener applying apparatus 300 includes an elongated carrier 301 extending longitudinally and U-shaped portion 304 at the distal end of the elongated carrier 301. The elongated carrier 301 includes driver 302 and actuator 303 extending longitudinally therein. The U-shaped distal end portion 304 includes an anvil support arm 305 and anvil 306 mounted thereto. A cartridge 312 is mounted in the U-shaped distal portion 304 and is movable between an initial proximal position and a distal position wherein it comes into close proximity to anvil 306 for gripping body tissue therebetween. The cartridge 312 may be removable and replaceable, and includes body portion 313, a leaf spring 307, alignment pin 308, pusher bar 309, and staple drivers 310. The fastener, illustrated in Figs. 11, 12, and 13 as staples 311, are

located within slots in the cartridge 312 and are pushed by staple drivers 310 when the apparatus 300 is fired, such that the legs of staples 311 penetrate body tissue and are crimped by in corresponding depressions 306a in anvil 306.

Referring to both Figs. 11 and 15, the cartridge 313 includes a drop bolt 315 maintained in an initial upper position as shown in Fig. 11, by a movable drop bolt retaining leaf spring 317. The leaf spring 317 includes an overhang portion 317c having a keyhole-shaped aperture 318 having a smaller and larger diameter portions 318a and 318b, respectively. The drop bolt 315 is mounted to the leaf spring retainer 317 such that the circumferential groove 315a of the drop bolt is disposed in the smaller diameter portion 318a of the aperture 318. The top portion 315b of the drop bolt 315 has a diameter smaller than that of aperture 318b so that it may pass through when the leaf spring is moved.

Helical compression spring 316 is disposed around drop bolt 315 such that the lower end of the spring 316 abuts the upper surface of collar 315c of the drop bolt. The upper end of spring 316 abuts the bottom surface of the overhang 317c, thereby applying a biasing force to urge the drop bolt to move downward. The drop bolt has a lower portion 315d which is receivable through aperture 319 (Figure 11) in the cartridge so as to provide a stop means for engaging edge 320 of the U-shaped distal portion 304. The operational sequence of the locking mechanism of this embodiment is explained more fully below.

In the initial prefired and open position, the apparatus 300 is in the condition as shown in Fig. 11. The cartridge 312 is in a proximal position, and, within the cartridge, the drop bolt 315 is held in the upward position by leaf spring 317.

Referring to Fig. 12, when the apparatus 300 is closed onto body tissue, i.e., when cartridge 312 is approximated, actuator 303 is moved distally, moving cartridge 312 distally into close approximation with the anvil 306 so as to clamp body tissue therebetween. As can be seen in Fig. 12, the cartridge 312 is moved distally against the biasing force of compression spring 314.

Referring now to Fig. 13, when the instrument 300 is fired, driver 302 moves distally through slot 307a in leaf spring 307, and drives pusher bar 309 forward. Staple drivers 310 are, in turn, advanced so that staples 311 are substantially simultaneously driven through body tissue 330 and into depressions 306a in the anvil 306 where the legs of staples 311 are crimped. The pusher bar 309, which had moved from its initial proximal position to the distal, or "fired" position, remains in the distal position even after the apparatus is opened.

As can be seen from Fig. 13 and Figs. 16a and 16b, when the staple driver 302 moves distally, the forward bottom edge 302a contacts proximal camming edge 317a of the drop bolt retaining leaf spring 317 and moves the top of the leaf spring 317 distally. When leaf spring 317 is moved distally, as shown in Figs. 16a and

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16b, the top portion 315b of the drop bolt 315 aligns with large diameter portion 318b of aperture 318, thereby permitting drop bolt 315 to disengage leaf spring 317 and to fall through. Spring 316 furthers this disengagement and, by providing biasing force on the drop bolt 315, insures that the drop bolt will disengage even if the apparatus is upside down with respect to gravity. Upon disengagement of the drop bolt 315 with the leaf retainer 317, the bottom portion 315d of the drop bolt drops through aperture 319 in the bottom of the cartridge and rests upon a shelf 304a of the U-shaped distal portion.

When the apparatus 300 is reopened, the actuator 303 is drawn back and the cartridge 318 is moved by the biasing force of compression spring 314 back to its proximal location. The bottom end of the drop bolt 315 slides over and down past edge 320. Collar 315c has a diameter larger than that of aperture 319 and, therefore, limits the distance which 315 drops.

Once the apparatus 300 has been both fired and reopened, it cannot be reclosed which is to say that cartridge 218 cannot be reapproximated if it is spent. If an attempt is made to reapproximate the spent cartridge, as shown in Fig 14, the bottom end 315d of drop bolt 313 will abut stop edge 320 and further distal movement of the cartridge 312 is prevented.

As is apparent from the above description, the locking mechanisms of the present invention can be utilized in stapling apparatus designed for single use, i.e., non-replaceable cartridges, which will prevent refiring of the spent cartridge. The locking mechanisms can also be used in apparatus utilizing replaceable cartridges and will prevent refiring if the apparatus is reloaded with a spent cartridge.

While the above description contains many specifics, these specifics should not be construed as limitations, but merely as exemplifications of preferred embodiments thereof. Those skilled in the art will envision many other possible variations that are within the invention as defined by the claims appended hereto.

#### Claims

1. Apparatus for applying a plurality of surgical fasteners to body tissue, which comprises:

- a) support means;
- b) a cartridge (101) supported by said support means and containing a plurality of surgical fasteners;
- c) means (108) positioned opposite said cartridge for closing said fasteners;
- d) means for approximating said cartridge toward said closing means to grip body tissue therebetween; and
- characterised by:
- e) means (103, 104, 220, 214, 315, 320) for blocking said approximating means from movement toward a fastener firing position when

said cartridge is spent.

2. Apparatus as claimed in claim 1, wherein said blocking means (103, 104, 220, 214, 315, 320) prevents approximation of said cartridge (101) toward said closing means (108) after said fasteners are fired and said cartridge is withdrawn from said closing means.
3. Apparatus as claimed in claim 1 or 2, wherein the blocking means comprise co-operating surfaces associated with the cartridge (101) and the closing means (108) respectively, the said surfaces being provided on a locking mechanism (102, 220, 315) and an interference means (104, 214, 320).
4. Apparatus as claimed in claim 2 or 3 wherein:
  - a) the locking mechanism includes detent means (103 d) movable from a first position when said fasteners are positioned in said cartridge, to a second position after said fasteners are fired therefrom, and to a third position when said cartridge is withdrawn from said closing means; and
  - b) the interference means (104) is connected to said support means and is positioned to interfere with said detent means when said detent means is in the third position so as to prevent approximation of said cartridge toward said closing means past a predetermined position when said cartridge is devoid of fasteners.
5. Apparatus as claimed in claim 3 or 4, wherein the locking mechanism (103, 220, 315) is associated with said cartridge and is actuated by withdrawing said cartridge from the body tissue after firing the fasteners to prevent reapproximation of said cartridge.
6. Apparatus as claimed in any one of claims 3, 4 or 5, wherein said locking mechanism is at least partially located inside of said cartridge.
7. Apparatus as claimed in claim 6, wherein actuation of said locking mechanism (315) comprises movement of said locking mechanism from an initial position within said cartridge to a final position wherein at least a portion of said locking mechanism extends beyond the periphery of said cartridge so as to become engageable with said interference means.
8. Apparatus as claimed in any one of claims 3 to 7 wherein said locking mechanism comprises a leaf spring (103).
9. Apparatus as claimed in claim 8, wherein the interference means comprises means to engage a

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detent portion of the leaf spring, said engaging means comprising a slot (104).

10. Apparatus as claimed in claim 8 or 9, wherein said leaf spring (103) is located within a chamber in the cartridge and movable in response to firing of the fasteners from a first position wherein said detent portion is not engageable with said slot (104) to an intermediate position wherein said detent portion is located outside said chamber, and wherein said detent portion is resiliently movable into engagement with said slot in response to proximal movement of the cartridge.

11. Apparatus as claimed in any one of claims 3 to 10, wherein said locking mechanism is initially actuated by a firing means and further actuated by retraction of said cartridge.

12. Apparatus as claimed in any one of claims 3 to 7, and wherein the locking mechanism comprises a drop bolt (315)

13. Apparatus as claimed in any one of claims 3 to 12, wherein said fasteners are substantially simultaneously fired.

14. Apparatus as claimed in any one of claims 3 to 13, wherein said cartridge is a removable cartridge which may be loaded into the apparatus, expended of fasteners, and replaced with another removable cartridge.

15. Apparatus as claimed in any one of claims 3 to 14 wherein said interference means comprises a member (212) having first (213) and second (214) slots, and said locking mechanism comprises a member (221) which includes a slot engaging rod (222) movable between a first position wherein it is slidable into said first slot of said interference means and a second position wherein it is slidable into said second slot of said approximation blocking means.

16. Apparatus as claimed in claim 15 wherein said second slot includes a wall surface (214a) for blocking distal movement of said slot engaging rod.

17. Apparatus as claimed in any one of claims 3 to 16, further including means (316) for biasing said locking member in a first position and restraining means (317) for preventing said locking member from moving into a second position, wherein said restraining means comprises a resilient member (317) having means (318) to releasably engage one of two end portions of said locking member, said resilient member being movable to a non-restraining position in response to application of a fastener driving force.

18. Apparatus as claimed in any one of claims 3 to 17, wherein said locking member comprises a cylindrical shaft (315) having a circumferential groove (315a) at said one end portion.

19. Apparatus as claimed in claim 18, wherein said means to releasably engage said one end portion of the locking member comprises an aperture (318) in said resilient member (317) having a narrow portion (318a) and a wide portion (318b), said narrow portion having a width less than the diameter of said locking member but of sufficient width to engage the locking member at said groove, and said wide portion of the aperture having a greater size than the diameter of the locking member so as to allow said locking member to pass therethrough.

20. Apparatus as claimed in any one of claims 17, 18 and 19, wherein the cartridge includes an aperture (319) for permitting passage of the other of the two end portions of the locking member to a position wherein it is engageable with the interference means.

21. Apparatus as claimed in any one of claims 17 to 20, wherein said locking member includes a generally cylindrical shaft portion (315) movable from a first position to a second position wherein it is engageable with a blocking surface (320) associated with said interference means.

22. Apparatus as claimed in any one of the preceding claims, wherein said fasteners comprise metal staples.

23. Apparatus as claimed in any one of the claims 1 to 21, wherein said fasteners comprise two-part fasteners (151, 152) fabricated from bioabsorbable polymer.

#### Patentansprüche

1. Vorrichtung zum Anbringen einer Vielzahl von Operationsbefestigern an Körpergewebe, die umfaßt:

a) ein Stützelement;

b) ein Magazin (101), das durch das Stützmittel getragen wird und eine Vielzahl von Operationsbefestigern enthält;

c) Mittel (108), das gegenüberliegend dem Magazin zum Schließen der Befestiger angeordnet ist;

d) Mittel zum Annähern des Magazins in Richtung des Schließmittels, um Körpergewebe dazwischen zu greifen; und gekennzeichnet durch:



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- e) Mittel (103, 104, 220, 214, 315, 320) zum Arretieren des Annäherungsmittels von einer Bewegung in Richtung einer Position zum Abschießen der Befestiger, wenn das Magazin verbraucht ist.
2. Vorrichtung gemäß Anspruch 1, worin das Arretiermittel (103, 104, 220, 214, 315, 320) das Annähern des Magazins (101) in Richtung des Schließmittels (108) verhindert, nachdem die Befestiger abgeschossen sind und das Magazin von dem Schließmittel zurückgezogen worden ist.
3. Vorrichtung gemäß Anspruch 1 oder 2, worin das Arretiermittel umfaßt zusammenwirkende Oberflächen, die dem Magazin (101) und dem Schließmittel (108) jeweils zugeordnet sind, wobei die Oberflächen auf einem Verriegelungsmechanismus (102, 220, 315) und einem Eingreifmittel (104, 214, 320) vorgesehen sind.
4. Vorrichtung gemäß Anspruch 2 oder 3, worin:
- a) der Verriegelungsmechanismus umfaßt ein Rastnasenmittel (103d), das von einer ersten Position, wenn die Befestiger in dem Magazin positioniert sind, zu einer zweiten Position bewegbar ist, nachdem die Befestiger davon abgeschossen worden sind, und zu einer dritten Position, wenn das Magazin von dem Schließmittel zurückgezogen wird; und
- b) das Eingreifmittel (104) mit dem Trägermittel verbunden und angeordnet ist, um mit dem Rastnasenmittel zusammenzuwirken, wenn das Rastnasenmittel in der dritten Position ist, um eine Annäherung des Magazins in Richtung des Schließmittels über eine vorbestimmte Position hinaus zu verhindern, wenn das Magazin leer von Befestigern ist.
5. Vorrichtung gemäß Anspruch 3 oder 4, worin der Verriegelungsmechanismus (103, 220, 315) dem Magazin zugeordnet ist und durch das Zurückziehen des Magazins von dem Körpergewebe nach dem Abschießen der Befestiger betätigt wird, um das Wiederannähern des Magazins zu verhindern.
6. Vorrichtung gemäß einem der Ansprüche 3, 4 oder 5, worin der Verriegelungsmechanismus zumindest teilweise innerhalb des Magazins angeordnet ist.
7. Vorrichtung gemäß Anspruch 6, worin die Betätigung des Verriegelungsmechanismus (315) die Bewegung des Verriegelungsmechanismus von einer anfänglichen Position innerhalb des Magazins zu einer abschließenden Position umfaßt, worin zumindest ein Bereich des Verriegelungsmechanismus sich über den Rand des Magazins so erstreckt, daß er mit dem Eingreifmittel in Eingriff bringbar wird.
8. Vorrichtung gemäß einem der Ansprüche 3 bis 7, worin der Verriegelungsmechanismus eine Blattfeder (103) umfaßt.
9. Vorrichtung gemäß Anspruch 8, worin das Eingreifmittel Mittel zum Einrücken eines Rastnasenbereiches der Blattfeder umfaßt, worin das Einrückmittel einen Schlitz (104) umfaßt.
10. Vorrichtung gemäß Anspruch 8 oder 9, worin die Blattfeder (103) innerhalb einer Kammer in dem Magazin angeordnet ist und in Antwort auf das Abschießen der Befestiger von einer ersten Position, worin der Rastnasenbereich nicht mit dem Schlitz (104) in Eingriff bringbar ist, in eine Zwischenposition bewegbar ist, worin der Rastnasenbereich außerhalb der Kammer angeordnet ist und worin der Rastnasenbereich elastisch in Eingriff mit dem Schlitz in Antwort auf eine proximale Bewegung des Magazins bewegbar ist.
11. Vorrichtung gemäß einem der Ansprüche 3 bis 10, worin der Verriegelungsmechanismus anfänglich durch ein Abschießmittel betätigt wird und des weiteren durch das Zurückziehen des Magazins betätigt wird.
12. Vorrichtung gemäß einem der Ansprüche 3 bis 7, und worin der Verriegelungsmechanismus einen Fallbolzen (315) umfaßt.
13. Vorrichtung gemäß einem der Ansprüche 3 bis 12, worin die Befestiger im wesentlichen gleichzeitig abgeschossen werden.
14. Vorrichtung gemäß einem der Ansprüche 3 bis 13, worin das Magazin ein entfernbares Magazin ist, das in die Vorrichtung geladen werden kann, aus dem Befestiger ausgegeben werden können, und das durch ein anderes entfernbares Magazin ersetzt werden kann.
15. Vorrichtung gemäß einem der Ansprüche 3 bis 14, worin das Eingreifmittel umfaßt ein Element (212) mit ersten (213) und zweiten (214) Schlitzen und der Verriegelungsmechanismus ein Element (221) umfaßt, das eine Schlitzeingriffstange (222) umfaßt, die bewegbar ist zwischen einer ersten Position, worin sie in den ersten Schlitz des Eingreifmittels einschiebbar ist, und einer zweiten Position, worin sie in den zweiten Schlitz des Annäherungssperrelements einschiebbar ist.
16. Vorrichtung gemäß Anspruch 15, worin der zweite Schlitz eine Wandoberfläche (214a) zum Arretieren der distalen Bewegung der Schlitzeingriffstange

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umfaßt.

17. Vorrichtung gemäß einem der Ansprüche 3 bis 16  
weiter umfassend Mittel (316) zum Vorspannen des  
Verriegelungselementes in eine erste Position und  
Haltemittel (317) zum Verhindern, daß sich das  
Verriegelungselement in eine zweite Position  
bewegt, worin das Haltemittel umfaßt ein elasti-  
sches Element (317) mit Mitteln (318), um lösbar in  
eine von zwei Endbereichen des Verriegelungsele-  
mentes einzugreifen, worin das elastische Element  
in eine nicht beschränkende Position in Antwort auf  
das Aufbringen einer Befestigereintreibkraft beweg-  
bar ist.
18. Vorrichtung gemäß einem der Ansprüche 3 bis 17,  
worin das Verriegelungselement umfaßt einen  
zylinderförmigen Schaft (315) mit einer Umfangs-  
nut (315a) an dem einen Endbereich.
19. Vorrichtung gemäß Anspruch 18, worin das Mittel  
zum lösbaren Eingreifen in den einen Endbereich  
des Verriegelungselementes umfaßt eine Öffnung  
(318) in dem elastischen Element (317) mit einem  
schmalen Bereich (318a) und einem weiten  
Bereich (318b), wobei der schmale Bereich eine  
Weite geringer als der Durchmesser des Verriege-  
lungselementes aber eine ausreichende Weite  
besitzt, um in Eingriff zu treten mit dem Verriege-  
lungselement an der Nut, und der weite Bereich der  
Öffnung eine größere Größe als der Durchmesser  
des Verriegelungselementes besitzt, um es dem  
Verriegelungselement zu gestatten, hindurchzutre-  
ten.
20. Vorrichtung gemäß einem der Ansprüche 17, 18  
und 19, worin das Magazin umfaßt eine Öffnung  
(319), um das Hindurchtreten des anderen der zwei  
Endbereiche des Verriegelungselementes zu einer  
Position zu gestatten, worin es mit dem Eingreifmit-  
tel in Eingriff bringbar ist.
21. Vorrichtung gemäß einem der Ansprüche 17 bis 20,  
worin das Verriegelungselement umfaßt einen im  
allgemeinen zylinderförmigen Schaftbereich (315),  
der von einer ersten Position zu einer zweiten Posi-  
tion bewegbar ist, worin es mit einer Arretieroberflä-  
che (320), die dem Eingreifmittel zugeordnet ist, in  
Eingriff bringbar ist.
22. Vorrichtung gemäß einem der vorhergehenden  
Ansprüche, worin die Befestiger Metallklammern  
umfassen.
23. Vorrichtung gemäß einem der Ansprüche 1 bis 21,  
worin die Befestiger zweiteilige Befestiger (151,  
152) umfassen, die aus bioabsorbierbarem Poly-  
mer hergestellt sind.

Revendications

1. Appareil pour appliquer une pluralité d'attaches chi-  
rurgicales à du tissu corporel qui comporte :
- a) un moyen de support ;
  - b) une cartouche (101) supportée par ledit  
moyen de support et contenant une pluralité  
d'attaches chirurgicales ;
  - c) un moyen (108) positionné en face de ladite  
cartouche pour fermer lesdites attaches ;
  - d) un moyen pour approcher ladite cartouche  
vers ledit moyen de fermeture pour saisir le  
tissu corporel entre ceux-ci ; et
- caractérisé par :
- e) des moyens (103, 104, 220, 214, 315, 320)  
pour bloquer ledit moyen d'approche à l'égard  
d'un déplacement vers une position de tir  
d'attaches lorsque ladite cartouche est vide.
2. Appareil selon la revendication 1, dans lequel les-  
dits moyens de blocage (103, 104, 220, 214, 315,  
320) empêchent l'approche de ladite cartouche  
(101) vers ledit moyen de fermeture (108) après le  
tir desdites attaches et après le retrait de ladite car-  
touche dudit moyen de fermeture.
3. Appareil selon la revendication 1 ou 2, dans lequel  
les moyens de blocage comportent des surfaces  
coopérantes associées à la cartouche (101) et au  
moyen de fermeture (108), respectivement, lesdites  
surfaces étant prévues sur un mécanisme de ver-  
rouillage (102, 220, 315) et un moyen d'interférence  
(104, 214, 320).
4. Appareil selon la revendication 2 ou 3, dans lequel :
- a) le mécanisme de verrouillage comporte un  
moyen formant positionneur (103d) déplaçable  
d'une première position où lesdites attaches  
sont positionnées dans ladite cartouche à une  
deuxième position après le tir desdites atta-  
ches de celle-ci, et à une troisième position  
lorsque ladite cartouche est retirée dudit  
moyen de fermeture ; et
  - b) le moyen d'interférence (104) est relié audit  
moyen de support et est positionné pour inter-  
férer avec ledit moyen formant positionneur  
lorsque ledit moyen formant positionneur se  
trouve dans la troisième position de façon à  
empêcher l'approche de ladite cartouche vers  
ledit moyen de fermeture au-delà d'une posi-  
tion prédéterminée lorsque ladite cartouche ne  
contient pas d'attaches.
5. Appareil selon la revendication 3 ou 4, dans lequel  
le mécanisme de verrouillage (103, 220, 315) est

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associé à ladite cartouche et est actionné en retirant ladite cartouche du tissu corporel après le tir des attaches pour empêcher une nouvelle approche de ladite cartouche.

6. Appareil selon l'une des revendications 3, 4 ou 5, dans lequel ledit mécanisme de verrouillage se situe au moins partiellement à l'intérieur de ladite cartouche.
7. Appareil selon la revendication 6, dans lequel l'actionnement dudit mécanisme de verrouillage (315) comprend le déplacement dudit mécanisme de verrouillage d'une position initiale dans ladite cartouche à une position finale où au moins une portion dudit mécanisme de verrouillage s'étend au-delà de la périphérie de ladite cartouche de façon à être mise en prise avec ledit moyen d'interférence.
8. Appareil selon l'une des revendications 3 à 7, dans lequel ledit mécanisme de verrouillage comporte un ressort à lames (103).
9. Appareil selon la revendication 8, dans lequel le moyen d'interférence comporte un moyen pour être mis en prise avec une portion de positionnement du ressort à lames, ledit moyen de mise en prise étant constitué par une fente (104).
10. Appareil selon la revendication 8 ou 9, dans lequel ledit ressort à lames (103) se situe dans une chambre dans la cartouche et est déplaçable en réponse au tir des attaches d'une première position où ladite portion de positionnement ne peut pas s'engager dans ladite fente (104) à une position intermédiaire où ladite portion de positionnement se situe à l'extérieur de ladite chambre et dans laquelle ladite portion de positionnement est déplaçable élastiquement en prise avec ladite fente en réponse au mouvement proximal de la cartouche.
11. Appareil selon l'une des revendications 3 à 10, dans lequel ledit mécanisme de verrouillage est actionné initialement par un moyen de tir et est actionné en outre par le retrait de ladite cartouche.
12. Appareil selon l'une des revendications 3 à 7, et dans lequel le mécanisme de verrouillage comporte un boulon descendant (315).
13. Appareil selon l'une des revendications 3 à 12, dans lequel lesdites attaches sont tirées sensiblement simultanément.
14. Appareil selon l'une des revendications 3 à 13, dans lequel ladite cartouche est une cartouche amovible qui peut être chargée dans l'appareil, et dont les cartouches seront dépensées et qui sera

remplacée par une autre cartouche amovible.

15. Appareil selon l'une des revendications 3 à 14, dans lequel ledit moyen d'interférence comporte un élément (212) avec des première (213) et deuxième (214) fentes, et ledit mécanisme de verrouillage comporte un élément (221) qui comporte une tige (222) s'engageant dans une fente déplaçable entre une première position où elle peut coulisser dans ladite première fente dudit moyen d'interférence et une deuxième position où elle peut coulisser dans ladite deuxième fente dudit moyen de blocage d'approche.
16. Appareil selon la revendication 15, dans lequel ladite deuxième fente comporte une surface de paroi (214a) pour bloquer le mouvement distal de ladite tige s'engageant dans la fente.
17. Appareil selon l'une des revendications 3 à 16, incluant en outre un moyen (316) pour solliciter ledit élément de verrouillage dans une première position et un moyen de limitation (317) pour empêcher que ledit élément de verrouillage se déplace vers une deuxième position, dans lequel ledit moyen de limitation comporte un élément élastique (317) avec un moyen (318) pour venir relâchement en prise avec une de deux portions d'extrémité dudit élément de verrouillage, ledit élément élastique étant déplaçable vers une position de non-limitation en réponse à l'application d'une force d'entraînement d'attaches.
18. Appareil selon l'une des revendications 3 à 17, dans lequel ledit élément de verrouillage comporte un arbre cylindrique (315) avec une rainure circonférentielle (315a) à ladite portion d'extrémité précitée.
19. Appareil selon la revendication 18, dans lequel ledit moyen pour venir en prise relâchement avec une portion d'extrémité de l'élément de verrouillage comporte une ouverture (318) dans ledit élément élastique (317) ayant une portion étroite (318a) et une portion large (318b), ladite portion étroite ayant une largeur inférieure au diamètre dudit élément de verrouillage mais une largeur suffisante pour une mise en prise avec l'élément de verrouillage à ladite rainure, et ladite portion large de l'ouverture ayant une plus grande taille que le diamètre de l'élément de verrouillage de façon à permettre audit élément de verrouillage de passer à travers celle-ci.
20. Appareil selon l'une des revendications 17, 18 et 19, dans lequel la cartouche comporte une ouverture (319) pour permettre le passage de l'autre des deux portions d'extrémité de l'élément de verrouillage vers une position où elle peut être mise en prise avec le moyen d'interférence.

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21. Appareil selon l'une des revendications 17 à 20, dans lequel ledit élément de verrouillage comporte une portion d'arbre (315) généralement cylindrique déplaçable d'une première position à une deuxième position où elle peut être mise en prise avec une surface de blocage (320) associée audit moyen d'interférence. 5
22. Appareil selon l'une des revendications précédentes, dans lequel lesdites attaches comprennent des agrafes métalliques. 10
23. Appareil selon l'une des revendications 1 à 21, dans lequel lesdites attaches comprennent des attaches en deux parties (151, 152) fabriquées à partir d'un polymère biorésorbable. 15

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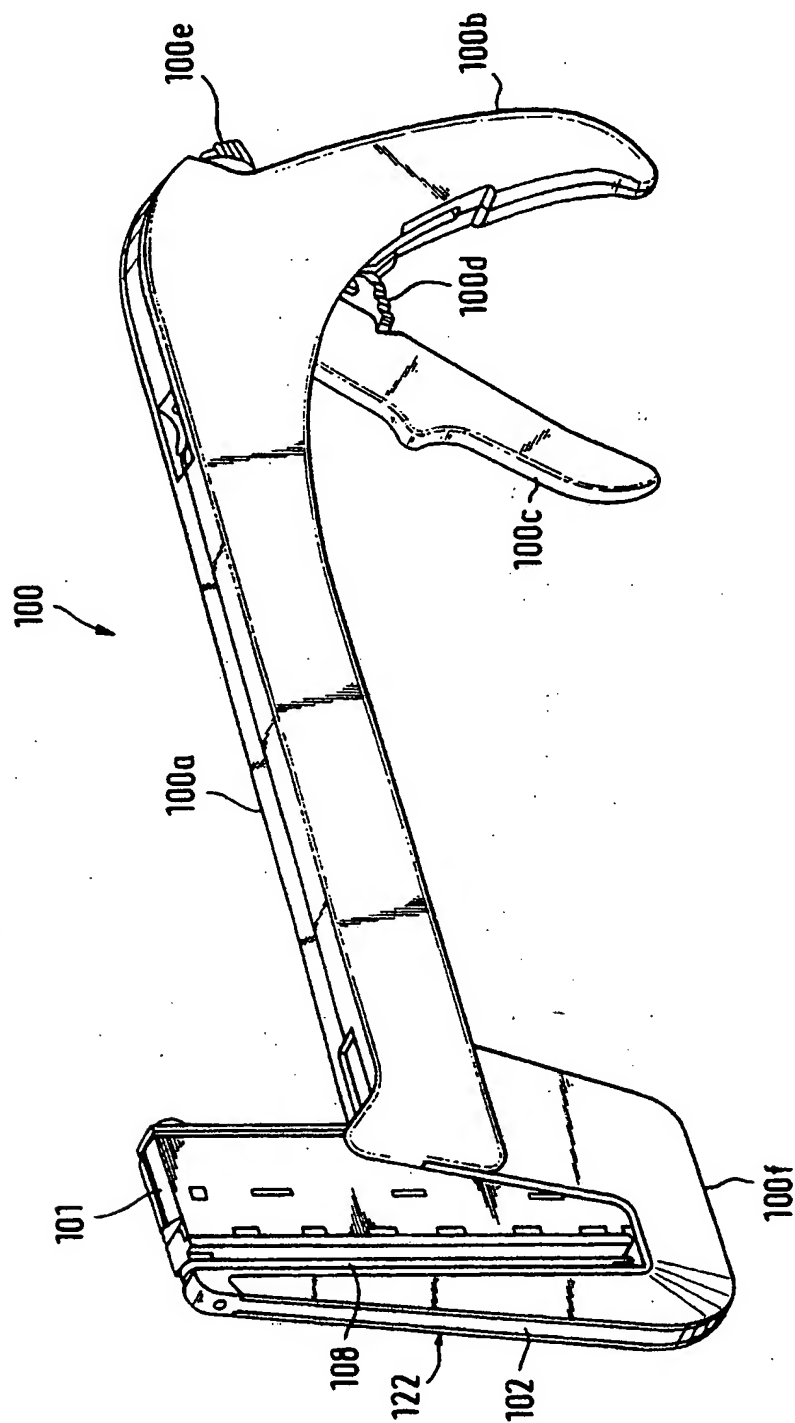
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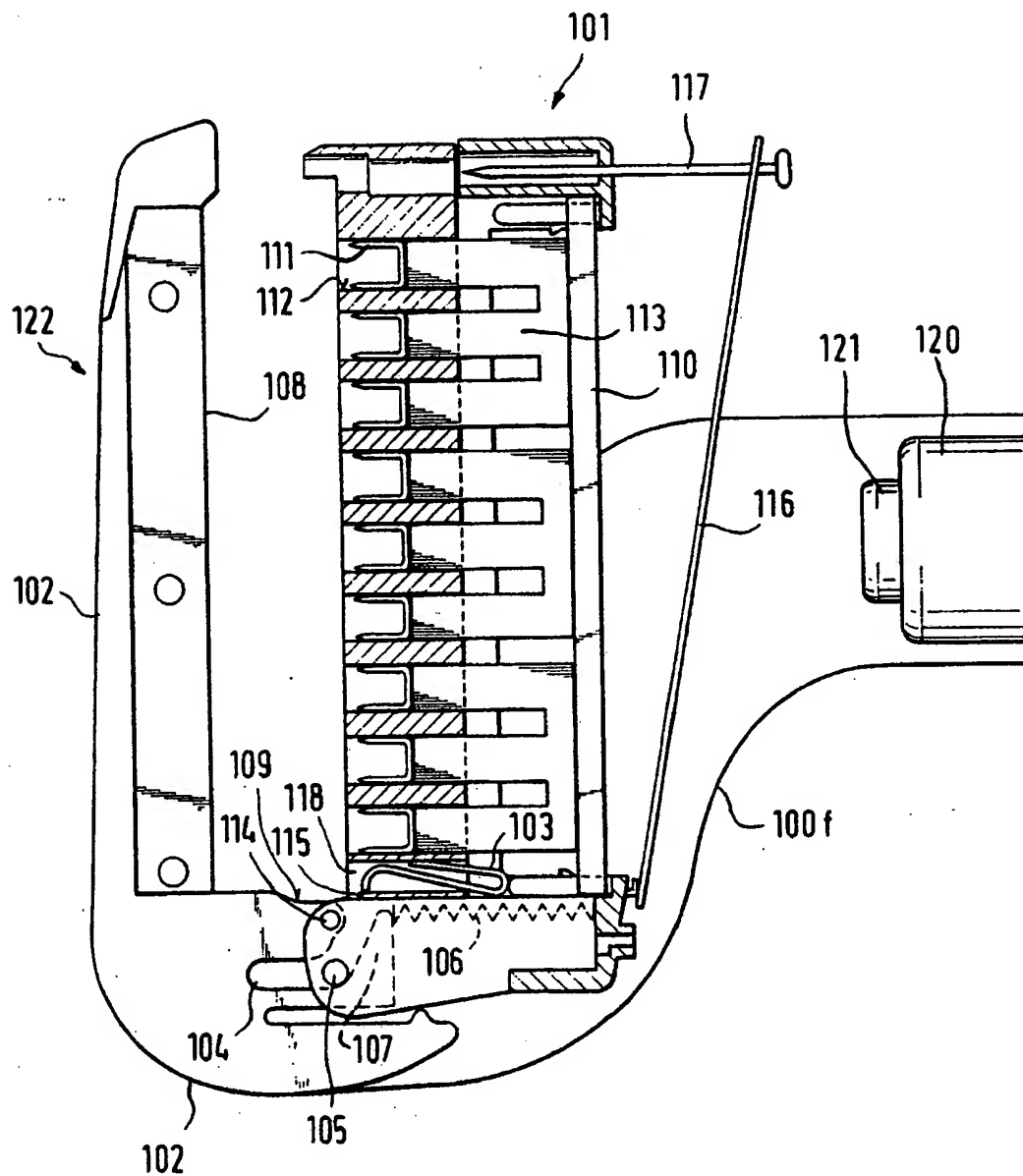
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Fig. 1



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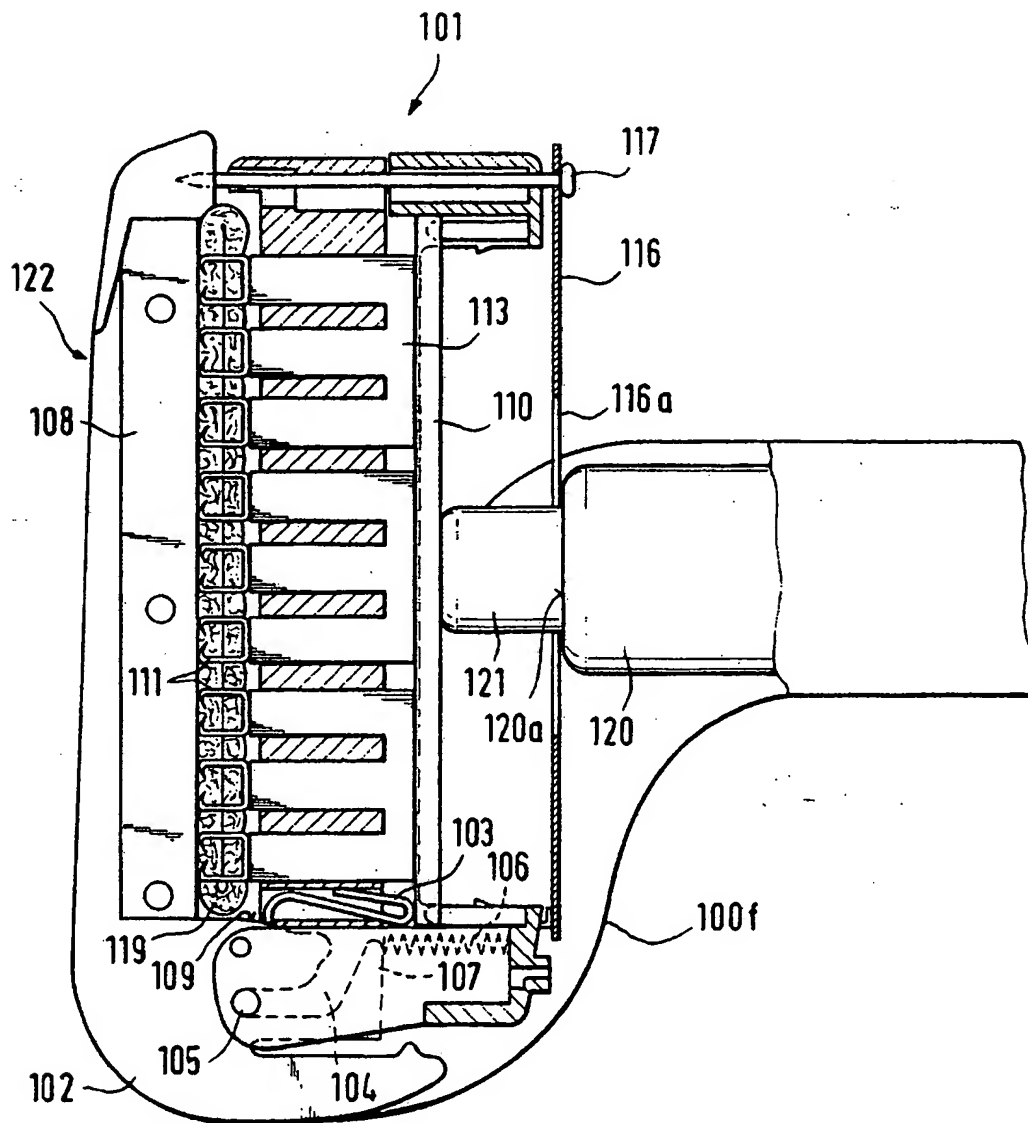
Fig. 2a





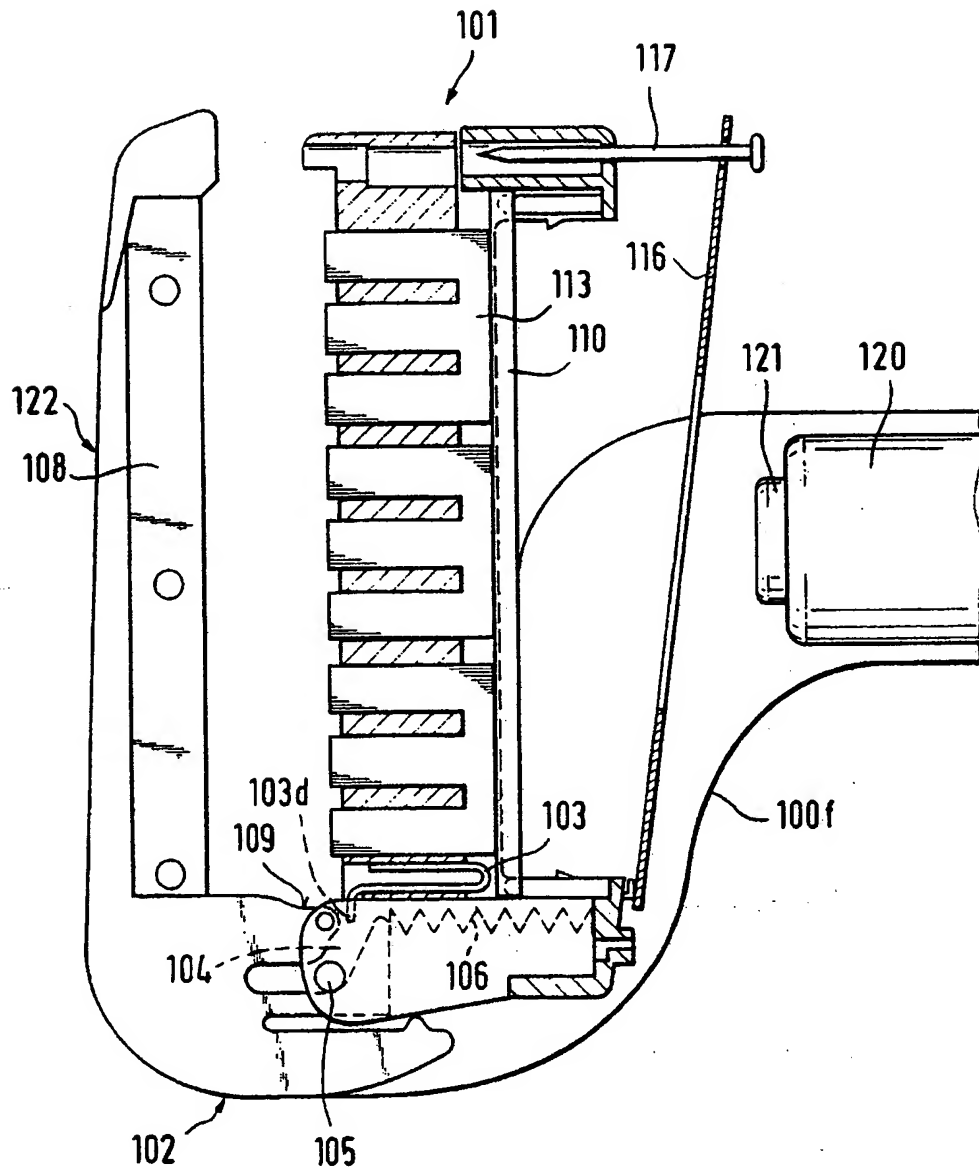
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Fig. 2b



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Fig. 2c



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Fig. 3a

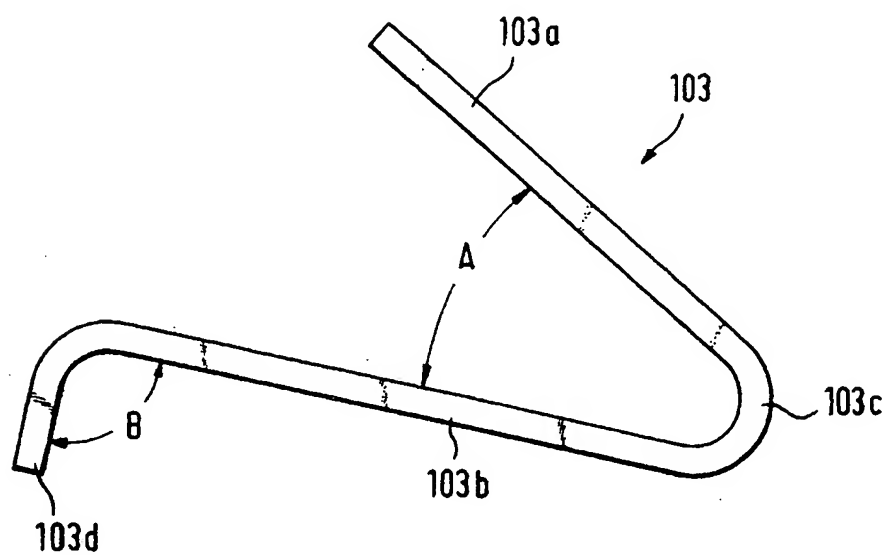
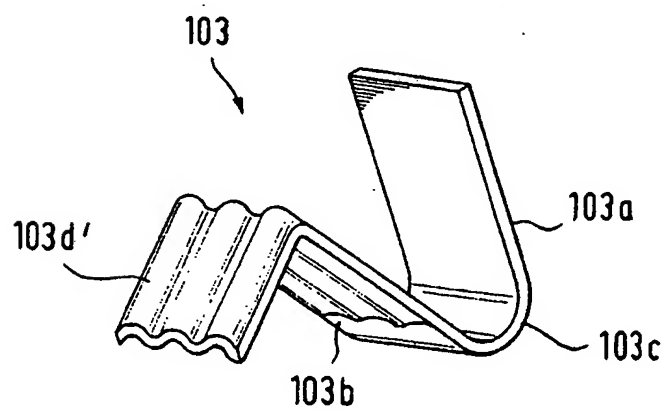
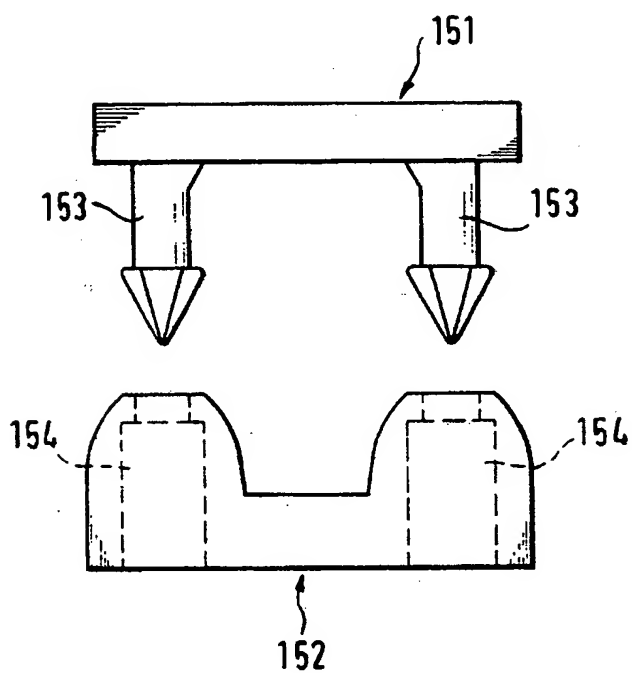


Fig. 3b



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Fig. 4



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Fig. 5

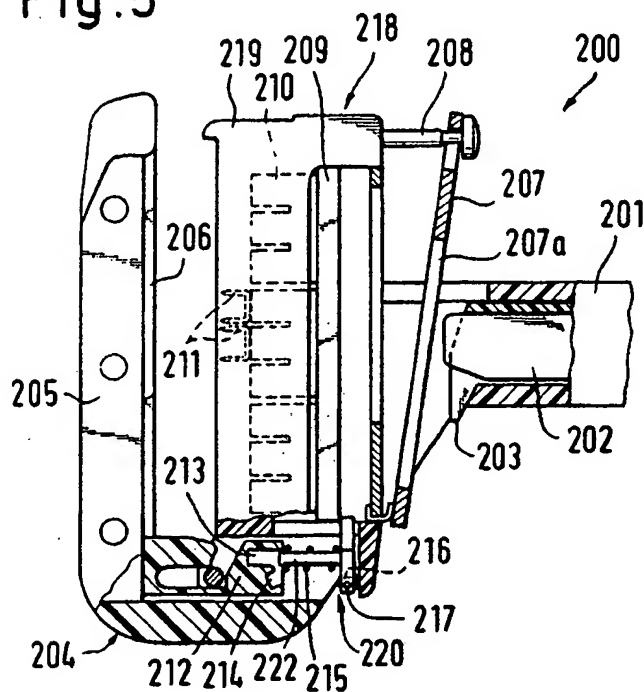


Fig. 6

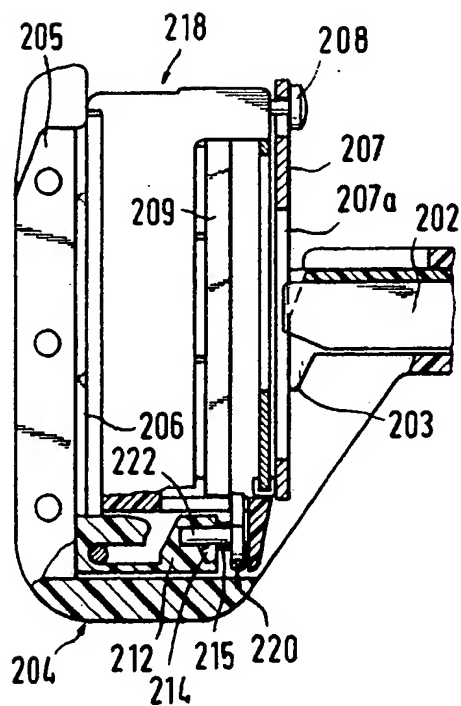
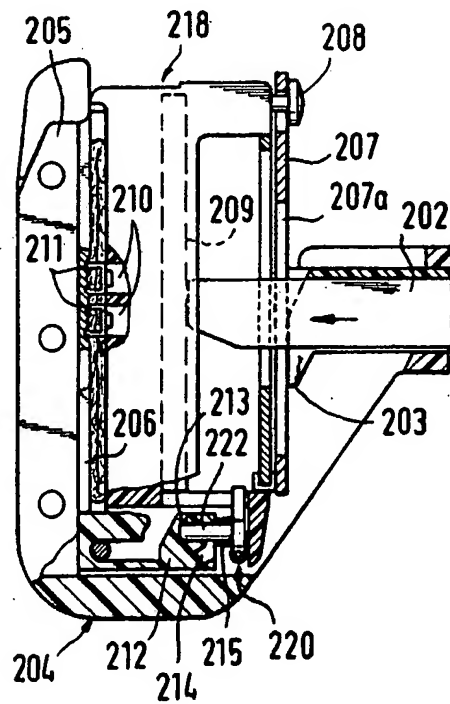


Fig. 7



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Fig. 8

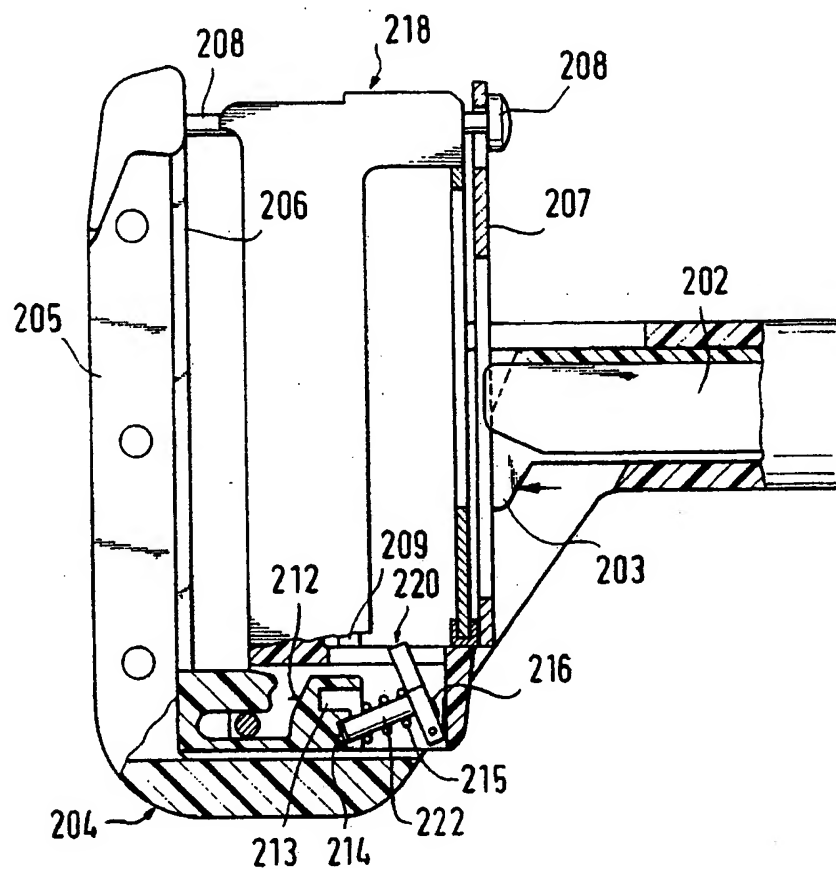
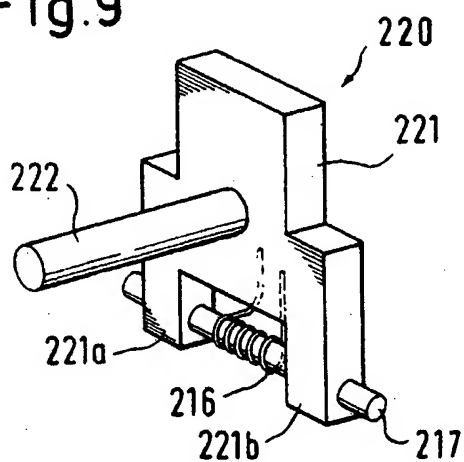


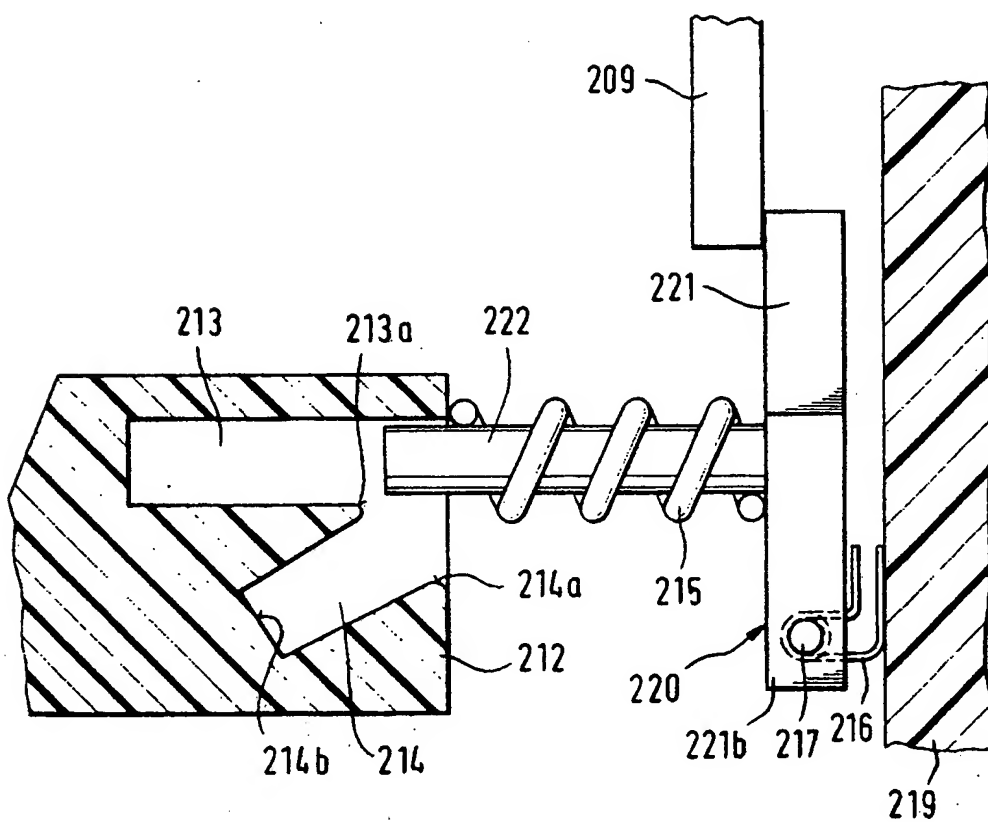
Fig. 9





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Fig.10





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Fig.14

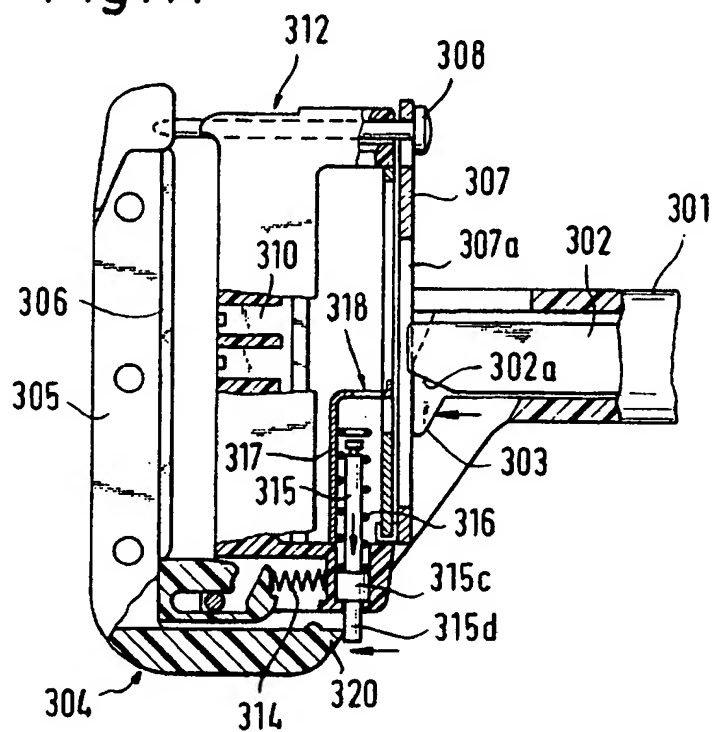


Fig.16a

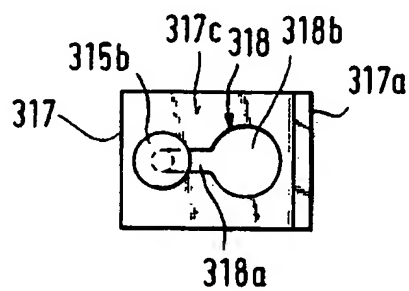


Fig.15

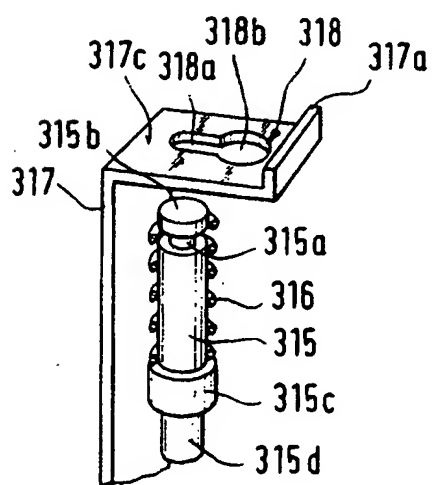
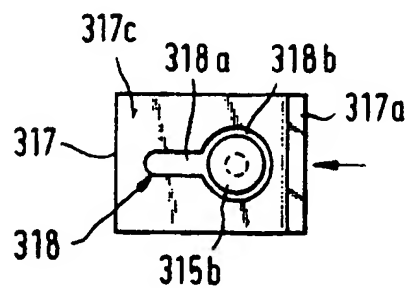


Fig.16b



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